

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:  
RAJENDRA YAVATKAR

Confirmation Number: 9480

Application No.: 10/056,160

Group Art Unit: 2616

Filed: January 24, 2002

Examiner: STEVEN NGUYEN

For: METHOD AND APPARATUS FOR MANAGING ENERGY USAGE OF  
PROCESSORS WHILE EXECUTING PROTOCOL STATE MACHINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT/RESPONSE TRANSMITTAL

Transmitted herewith is an amendment/response for this application.

## FEES

The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	28	-	30	= 0	\$ 50.00 = \$ 0.00
INDEP.	5	-	4	= 1	\$ 200.00 = \$ 200.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+	\$ 360.00 = \$ 0.00
TOTAL ADDITIONAL CLAIM FEE					\$ 200.00
REQUEST FOR CONTINUED EXAMINATION					\$ 790.00
GRAND TOTAL					\$ 990.00

08/22/2006 HGUTEMA1 00000020 033975 10056160

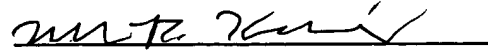
02 FC:1201 200.00 DA

**FEE PAYMENT**

Authorization is hereby made to charge the amount of \$990.00 to Deposit Account No. 033975. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: August 17, 2006

PILLSBURY WINTHROP SHAW PITTMAN LLP  
725 South Figueroa Street, Suite 2800  
Los Angeles, CA 90017-5406  
213 488.7253

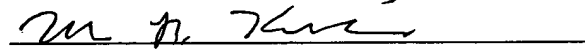
  
MARK R. KENDRICK  
Reg. No. 48468

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\***

(When using Express Mail, the Express Mail label number is *mandatory*; *Express Mail certification is optional*.)

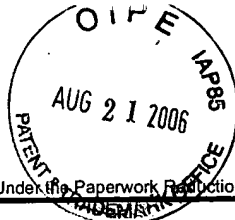
I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature

Date: August 17, 2006

MARK R. KENDRICK  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.



PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 990.00

**Complete if Known**

Application Number	10/056,160
Filing Date	January 24, 2002
First Named Inventor	RAJENDRA YAVATKAR
Examiner Name	SEVEN NGUYEN
Art Unit	2616
Attorney Docket No.	81674-249728

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP SHAW PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
28	- 20 or HP = 0	x 0 =	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
5	- 3 or HP = 1	x 200 =	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 =	/ 50 =	(round up to a whole number) x 250.00 =	0.00

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): RCE \$790 and 1-Independent Claim \$200

Fees Paid (\$)

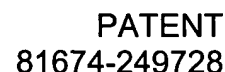
990.00

**SUBMITTED BY**

Signature	<u>Mark R. Kendrick</u>	Registration No. (Attorney/Agent)	48468	Telephone	213.488.7253
Name (Print/Type)	Mark R. Kendrick	Date	August 17, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**In re Request for Continued Examination (RCE) Application of:**

Art Unit: 2616  
Examiner: Steven Nguyen

Yavatkar et al.

Serial No: 10/056,160

Filed: January 24, 2002

For: METHOD AND APPARATUS FOR  
MANAGING ENERGY USAGE OF  
PROCESSORS WHILE EXECUTING  
PROTOCOL STATE MACHINES

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450 Alexandria, VA 22313-1450, on

August 17, 2006

Date of Deposit

Mark R. Kendrick

Name \_\_\_\_\_

Mr B. Zvi

Signature

08 / 17 / 2006

Date \_\_\_\_\_

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner Nguyen:

In response to a Notice of Allowance dated May 17, 2006, applicants are filing a Request for Continued Examiner and Preliminary Amendment in lieu of paying the issue fee. Please accept the RCE, enter the Preliminary Amendment, and consider the following remarks.